

DID YOU KNOW?

EXPORT CONTROL LAWS MAY APPLY TO THE DELIVERY OF CERTAIN ITEMS OR INFORMATION OUTSIDE OF THE UNITED STATES OR TO A FOREIGN NATIONAL INSIDE THE UNITED STATES (“DEEMED EXPORT”).

UNIVERSITY OF MASSACHUSETTS DARTMOUTH EXPORT CONTROL CHECKLIST

Use this checklist to assist you in determining whether or not export control regulations may apply to your proposed project.

Does this proposed project or agreement:	YES	NO
Involve military or defense equipment, software, technology or other defense articles listed on the United States Munitions List (USML) under the International Traffic in Arms Regulations?*		
Involve materials, equipment, software, technology or other items listed on the Commerce Control List (CCL) under the Export Administration Regulations?*		
Involve the transfer of technical data or technical training concerning items or articles on the USML or the CCL?*		
Provide data, services or involve a transaction with an embargoed country as defined by the Office of Foreign Assets Control (<i>See</i> http://www.treas.gov/offices/enforcement/ofac/)?		
Involve research, information or software that could be used in development of weapons of mass destruction (nuclear, biological, chemical), or their delivery systems?		
Involve sanctioned persons identified by certain U.S. Government agencies (http://www.bis.doc.gov/complianceandenforcement/liststocheck.htm)?		
Involve research containing source code for encrypted software (other than publicly available software distributed at no charge)?		
Has the sponsor requested that any persons at the University refrain from publishing any of the results of your work?		
Involve travel to or visitors from a foreign country?		
Require delivery of materials, equipment, software or technology outside of the United States? If yes, an electronic AES filing may be required; <i>see</i> http://www.bis.doc.gov/exportlicensingqanda.htm		
Does this project involve training a foreign national/person in the design, development, engineering or use of military or defense articles?		
Require research participation in US-citizen-only meetings?		
Restrict researcher participation (faculty, student, others) based on country of origin or citizenship?		

*Use this Link to View the Items Listed on the USML or CCL:

http://www.umassd.edu/grants_contracts/compliance/main.cfm

You must consult with Dartmouth Institutional Biosafety Committee regarding any protocols for recombinant DNA, potentially infectious microbes, transgenic animals or other dangerous goods. IATA training, packaging and shipping regulations apply.

Only the Dartmouth Institutional Biosafety Committee can assist you with any proposed receipt or transfer of select agents or toxins regulated by the CDC or USDA. Proper licensure and procedures are required.

If you answered “yes” above, or if you have any other questions related to export controls, please contact the Joanne Zanella-Litke at jzanella@umassd.edu