**REQUEST FOR EXEMPTION TO OPENNESS IN RESEARCH POLICY**

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| Section A: Investigators’ information - To be completed by the principal investigator |
| PI (name): | CO-PI (name): |
| Phone #: | Phone #: |
| Email:  | Email: |
| Campus: | Campus: |
| Please include attachment if additional CO-PIs – listing names, phone, email, and campus |

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| Section B: Research Project Information – To be completed by principal investigator |
| Title: |
| Sponsor: |
| Location(s) where research will be conducted: |
| Project period: |
| Proposed award amount: |
| This project requires: ☐IRB; ☐IACUC; ☐IBC; ☐ Other applicable Faculty Research Committee; ☐ Campus Export Control Officer (please attach copies of approval letter(s)) |

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| Section C: Research Project Description – in an attachment please provide responses to all numbered questions below; if a question is not applicable indicate “N/A” - To completed by principal investigator |
| 1. Please provide a brief summary of the proposed research in non-technical terms suitable for public discussion.
2. Please provide a summary of the significance of the proposed research, i.e., how it will contribute significant new knowledge to science, benefit the state or national public good, provide educational opportunities that could not be found elsewhere, or significantly advance your research program.
3. Please provide a history of your research and / or work with this sponsor.
4. Please describe any special security arrangements that will be needed (include how long these measure will be needed, the cost, and who will pay for them).
5. How will this project affect the educational progress of students working in your group?
6. How will this project affect the career progress of faculty, staff, or post-docs working in your group? How will they be accommodated?
7. Describe any participation, publication or dissemination restrictions. For example, if results of the work cannot be shared with those not assigned to the project. If restrictions exist indicate how the career or educational needs of the participants can be met.
8. Please provide export control jurisdiction and classification. Include whether an export control license or technology control plan are necessary.
9. Indicate any additional reasons for granting this exemption.
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| Section D: To be completed by Director of Grants and Contracts |

1. Please provide a short narrative of the negotiation process:
2. Please describe the problematic contractual restrictions that led to this request:
3. Is this a restriction that is becoming more commonplace as a result of the funding environment? How are other institutions responding to these types of requests?
4. Does the sponsor request this restriction on all agreements, regardless of the need or is the sponsor asking for this restriction because of the nature of this particular project? Is the sponsor a government agency?

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| Section E: To be completed by applicable campus faculty research committee (if any) |
| Name of committee: | Date completed: |
| The committee recommends that this exemption request be: Choose an item. |
| Votes for exemption: | Votes against: | Abstentions: |
| Notes: |
| Section F: To be completed by Campus Export Control Officer (if applicable) |
| The campus export control officer recommends that this exemption request be: Choose an item. |
| Notes: |
| Signature: Date: |
| Section G: To be completed by Vice Provost / Chancellor for Research |
| The chief research officer recommends that this exemption request be: Choose an item. |
| Notes: |
| Signature: Date: |
| Section H: To be completed by Chancellor (or designee) |
| The Chancellor recommends that this exemption request be: Choose an item. |
| Signature: Date: |
| Section I: To be completed by System Export Control Office (if applicable) |
| The system export compliance officer recommends that this exemption request be: Choose an item. |
| Signature: Date: |
| Section J: To be completed President (or designee) |
| The President has reviewed this request for exemption and finds that this request be: Choose an item. |
| Signature: Date: |